Governing Al Projects Without Killing Innovation

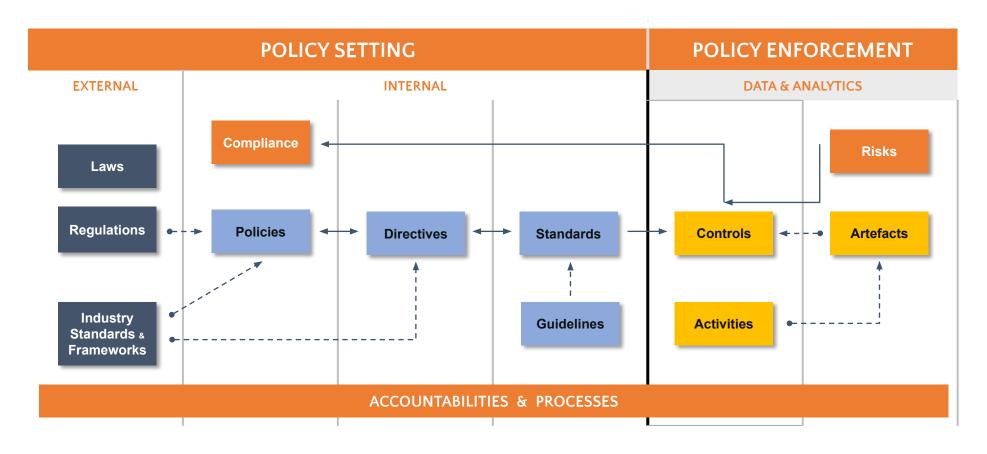


OBJECTIVES

Demonstrate that governance

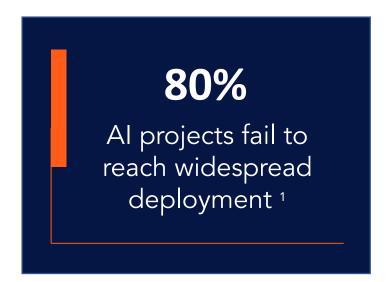
- 1. Is critical to the success of AI projects
 - 2. Can be lean and agile
- 3. Can be done without killing innovation

Data & Analytics Governance



EFFECTIVE • COMPLIANT • RESPONSIBLE • SECURED

ACHIEVING BUSINESS OBJECTIVES







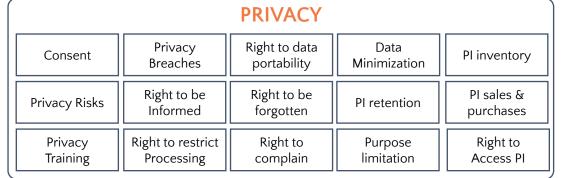
TOP 10 DATA & AI CHALLENGES

1)	Project Management45%	6)	Lack of IT infrastructure
2)	Managing AI risks & ethics 44%	7)	Managing data 28%
3)	Regulatory Constraints 42%	8)	Lack of data/quality issues 26%
4)	Lack of regulatory guidance 33%	9)	Uncertain ROI26%
5)	Limited AI skills/talent28%	10)	Data security and privacy25%

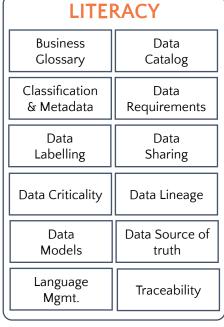
Why governance fails to deliver expected value?

1. Complexity

Data & Analytics Governance Topics



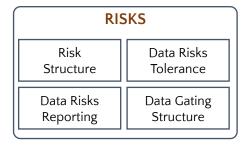
		ETHICS		
Ethical issue Escalation	Ethics Risks	Bias Prevention	Probability severity of harm	Challenging Decision
Human Agency	ESG	Bias Mgmt.	Ethical Data Sources	Ethical Issue Identification





SECURITY				
Access	Data Security	Data	Data Security	
to data	Levels	Poisoning	Risks	
Access to	Data Security	Adversarial	Model Gaming	
Models	Training	Attack		

GOVERNANCE MGMT.			
Stewardship Structure	Business/Model Alignment	Stakeholders Engagement	
Accountability Management	Communication Mgmt.	Governance Metrics / KPI	

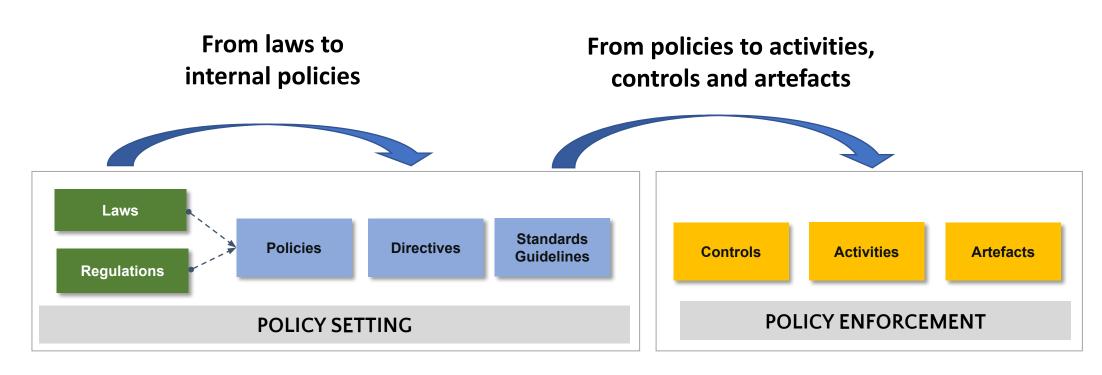


COMPLIANCE	DATA ARC	HITECTURE
Compliance Management	Data Re-usability	Data Flow Mgmt.
Alignment with Policies	Open Data	Data Retention

DATAMETHIECTORE			
Data Flow Mgmt.			
Data Retention			

ANALYTICS Model Mgmt. Dataset Mgmt.

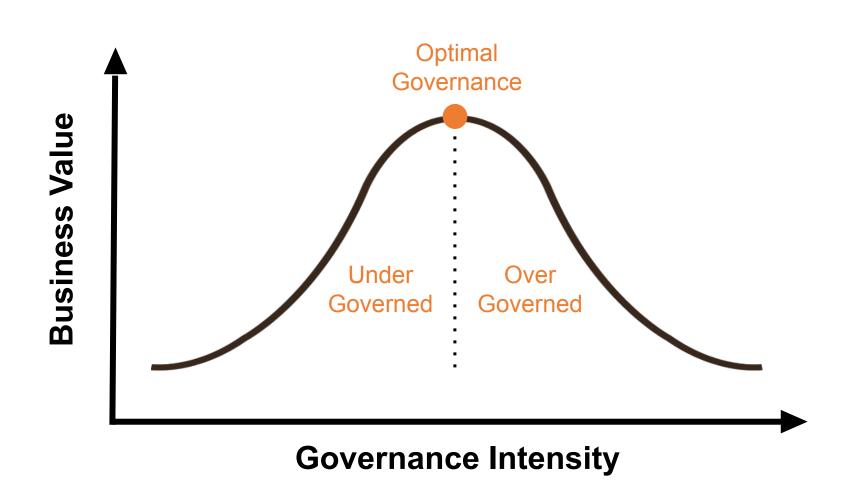
2. Translation Challenges



Organizations are stuck here ...

... failing to operationalize

3. Lack of Agility



Failure to adapt to

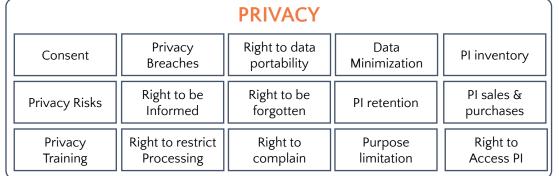
Organization Maturity

> Project Context

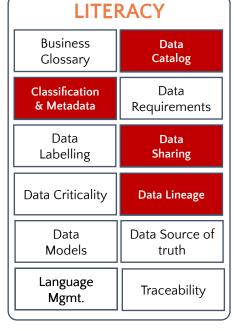
How can you govern without killing innovation?

CHOOSE YOUR BATTLES ¬

Data & Analytics Governance Topics



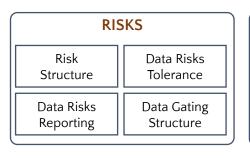
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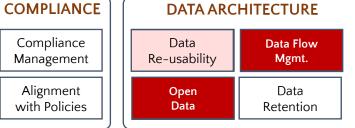


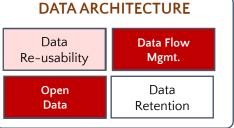


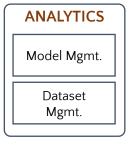
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WIN THE BATTLES

Data Catalog



Directive on Data Science

Operational Playbooks

- Detailed activities and controls
- Embedded in SDLC / Analytic lifecycle
- Operational roles and responsibilities
- Artefacts / Templates
- Alignment with stewardship structure
- Alignment with technology enablers





Explainability

Explaining an automated decision made by an AI system

BEST

Creation of a policy for explanation

MIN

Assessment of explainability requirements of project

MIN

Validation of model type against explainability requirements

Publishing explanation for most common decisions

Evaluation by users of user guide for the AI system

BEST

Creation of an explainability / explicability strategy

BEST

Validation of explainability requirements against organizational guidelines

Operational Practices

Communication of the right to get an explanation

Design / implementation of mechanisms to define explanation

BEST

Approval of explainability / explicability strategy

BEST

Drafting explanation for most common decisions

Development of user guide for the AI system

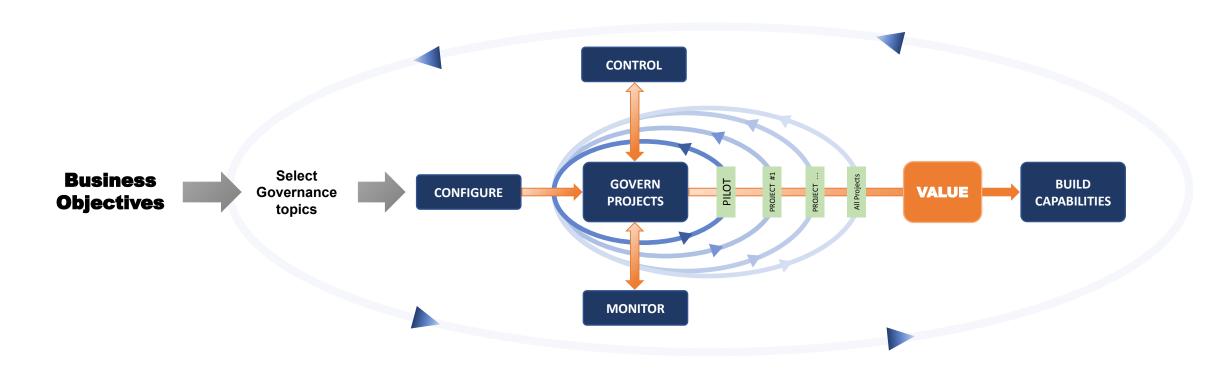


Communication of decision explanation

BEST

Periodic audit of explanation provided





THANK YOU!